**Employee Declaration Questionnaire - India**

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| Please print legibly and provide details if you answer "yes" to any question. | Yes | No |
| 1. In relation to work, business or professional activities, have you, or any organization of which you are or have been a partner, director or involved in the management, ever been censured, disciplined, suspended or expelled, or restricted from the right to carry on business or professional activities, by any professional or regulatory body? |  | NO |
| 1. Are you, or any organization of which you are or have been a partner, director or involved in the management, currently the subject of any disciplinary proceedings by any of the bodies mentioned above, or aware that such proceedings are pending, or that circumstances exist that may give rise to such proceedings? |  | NO |
| 1. Have you ever been refused entry to, or been dismissed, suspended or requested to resign from, any profession, office, employment or position of trust? |  | NO |
| 1. Have you ever been subject to any disciplinary action (including any warning or suspension) by your current or former employer(s), or been permitted to resign to avoid such action? |  | NO |
| 1. Are you aware that any such disciplinary action is pending, or that circumstances exist that may give rise to such action? |  | NO |
| 1. Have you, or any organisation of which you are or have been a partner, director or involved in the management, ever been investigated, or adjudged by a court to be liable, for fraud, dishonesty or misfeasance? |  | NO |
| 1. Have you ever been disqualified from acting as a director of a company, or from acting in the management or conduct of any organisation? |  | NO |
| 1. Are you currently or do you expect to be engaged in any other business either as a proprietor, partner, officer, director, trustee, employee, agent or otherwise? |  | NO |
| 1. Do you have any outside interests which could be viewed as a conflict of interest by Morgan Stanley? |  | NO |
| 1. Do you, your spouse or minor children hold or control any brokerage accounts or any accounts with the capability of securities investment? |  | NO |
| 1. Have you ever been declared bankrupt, or served with a bankruptcy petition? |  | NO |
| 1. Have you ever been a party to a scheme of arrangement, or entered into any form of compromise with your creditors, in the past ten years involving an amount in excess of USD 15,000 or equivalent? |  | NO |
| 1. Has any organization of which you are or have been a partner, director or involved in the management, been wound up or investigated by any professional or regulatory body either during the time of your involvement or within one year of its ending? |  | NO |
| 1. Have you ever had a financial claim, of any kind, made against you by anyone in court (excluding family court proceedings), or are you aware that such action is pending, or that circumstances exist that may give rise to such action? |  | NO |
| 1. Have you ever been charged with, convicted of, pleaded guilty to, or entered a plea of nolo contendere or similar plea to any criminal offence? |  | NO |
| 1. Have you ever been the subject of any criminal investigation or proceedings? |  | NO |
| 1. Have you at any point of time been convicted by any court for any offence involving moral turpitude or fraud or have you been found guilty of any economic offences and sentenced in respect thereof to imprisonment for a period not less than six months? |  | NO |
| 1. Have you ever been involved in any litigation connected with the securities market in India and there are charges against you as of date? |  | NO |
| 1. Have you ever faced any charges / disciplinary action from any Stock Exchanges in India or have been declared a defaulter to any Stock Exchange in India? |  | NO |
| 1. Have you ever been involved in a securities scam or been named in the Janakiraman Committee Report / JPC Report? |  | NO |
| 1. Do you have any orders under any Insolvency / Bankruptcy laws in force currently or have been passed against you during the past five years? |  | NO |

I confirm that the information and details stated above are accurate, complete and correct. I understand that giving false or misleading information may result in the withdrawal of my employment offer or termination of employment.

Signature \_\_\_\_\_\_\_\_ANKIT GARG\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name \_\_\_\_\_\_\_\_\_ANKIT GARG\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

MSID \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date \_\_\_\_\_\_23-OCT-2020\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_